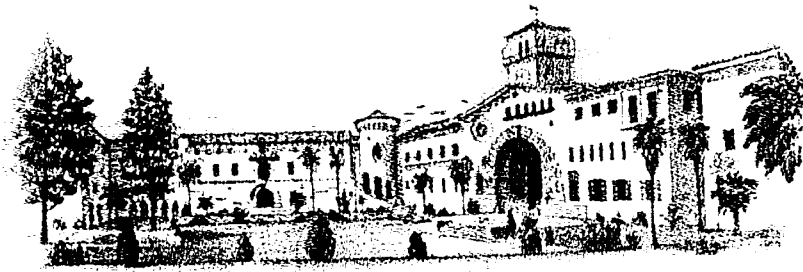


MAILING ADDRESS:
GRAND JURY ROOM
COUNTY COURTHOUSE
SANTA BARBARA, CA
93101



TEL: (805) 568-2291
FAX: (805) 568-3301
email: sbcgj@sbcgj.org
http:// www.sbcgj.org

GRAND JURY SANTA BARBARA COUNTY

November 30, 2008

Montecito Water District
583 San Ysidro Road
Montecito, CA 93108

Chair:

The 2008-2009 Civil Grand Jury is reviewing a response given to the 2005-2006 Civil Grand Jury report entitled "Water and Cemetery Districts: Do Special Districts Need Watching?", specifically Recommendation #1.

This recommendation reads: "Water and cemetery district Board members should receive training, by January 1, 2007, in all subjects mandated by Assembly Bill 1234."...

The response from the Montecito Water District was: "District had already implemented this recommendation prior to receipt of the Grand Jury report."...

The Grand Jury is requesting an update on the current status of progress on the matter and, if concluded, information documenting and detailing the conclusion and the date completed. Please reply in detail to the Grand Jury at the above address at your earliest convenience, but in any event not later than 30 days from this date.

Thank you for your cooperation.

Ted Sten
Foreman
Santa Barbara County Civil Grand Jury





Santa Barbara County Civil Grand Jury
Ted Sten, Foreman
Grand Jury Room, County Courthouse
Santa Barbara, CA 93101

December 17, 2008

Subject: Grand Jury Letter of November 30, 2008

Dear Mr. Sten & Members of the Grand Jury,

Board of Directors

President
Richard Shaikewitz

Vice President
W. Douglas Morgan

Jan E. Abel
Samuel Frye
Larry Wilson

General Manager
and Secretary

Thomas R. Mosby

Montecito Water District is in receipt of your letter, dated November 30, 2008, requesting an update on District's compliance with Assembly Bill 1234. AB 1234 requires that members of a legislative body (in the case of the Montecito Water District, *Directors*) must receive ethics training every two years.

Montecito Water District's Directors, with the dates of their most recent ethics training, are:

- Jan Abel May 1, 2008
- Sam Frye April 28, 2008
- W. Douglas Morgan .. November 28, 2007
- Richard Shaikewitz ... May 1, 2008
- Larry Wilson September 18, 2006

Phoned requesting certificates 1/7/09. Spoke with Tom Mosby. Will send ASAP

As shown, Director Wilson is due to take another ethics course. Circumstances prevented him from participating in the last one that was offered, but he will be signing up for one in the near future.

Certificates of participation for the ethics training courses are on file at the District office.

Sincerely,

Tom Mosby
General Manager

583 San Ysidro Road
Santa Barbara, CA
93108-2124

Ph 805.969.2271
Fax 805.969.7261

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webmaster@montecitowater.com
http://www.montecito-water.com



Received

JAN 13 2009

Santa Barbara
Grand Jury

Santa Barbara County Civil Grand Jury
Ted Sten, Foreman
Grand Jury Room, County Courthouse
Santa Barbara, CA 93101

January 7, 2009

Subject: Ethics Training Certification

Dear Mr. Sten & Members of the Grand Jury,

Board of Directors

President
Richard Shaikewitz

Vice President
W. Douglas Morgan

Jan E. Abel
Samuel Frye
Larry Wilson

General Manager
and Secretary

Thomas R. Mosby

Attached please find copies of the certificates of participation in ethics training courses for the following District Directors:

Jan Abel..... May 1, 2008
Sam Frye April 28, 2008
W. Douglas Morgan .. November 28, 2007
Richard Shaikewitz ... May 1, 2008

The originals of these certificates are on file at the District office.

Sincerely,

Tom Mosby
General Manager

583 San Ysidro Road
Santa Barbara, CA
93108-2124

Ph 805.969.2271
Fax 805.969.7261

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<http://www.montecito-water.com>

Public Service Ethics Education Proof of Participation Certificate

Participant Name: Richard Shalkewitz

Course/Session Title: Ethics Essentials for Public Officials: AB 1234 Training

Course Date & Time: May 1, 2008 at 11:30 AM Location: Brownstein Hyatt Farber Schreck

Eligible Credit: 2 hours.

To be completed by provider: The above course was

An overview course on all public service ethics issues necessary to satisfy the requirements of Article 2.4 of Chapter 2 of Part 1 of Division 2 of Title 5 of the Government Code.

A course on one or more of the following ethics subtopics contemplated by Article 2.4 of Chapter 2 of Part 1 of Division 2 of Title 5 of the Government Code. [provider check all that apply]

- Laws relating to personal financial gain by public servants, including, but not limited to, laws prohibiting bribery and conflict-of-interest laws.
- Laws relating to claiming perquisites ("perks") of office, including, but not limited to, gift and travel restrictions, prohibitions against the use of public resources for personal or political purposes, prohibitions against gifts of public funds, mass mailing restrictions, and prohibitions against acceptance of free or discounted transportation by transportation companies.
- Government transparency laws, including, but not limited to, financial interest disclosure requirements and open government laws.
- Laws relating to fair processes, including, but not limited to, common law bias prohibitions, due process requirements, incompatible offices, competitive bidding requirements for public contracts, and disqualification from participating in decisions affecting family members; and/or
- General ethical principles relating to public service.



To be completed by participant:

By signing below, I certify that I participated in the activity described above and am entitled to claim 2 ethics education credit hour(s).

Richard Shalkewitz

Participant Name (print)

Signature

NOTE TO PARTICIPANT: Please provide a copy of this proof of participation to the custodian for such records at your agency. In addition, we recommend you and make a copy of this proof of participation for your own records to retain for at least five years.

Public Service Ethics Education Proof of Participation Certificate

Participant Name: Jan Abel

Course/Session Title: Ethics Essentials for Public Officials: AB 1234 Training

Course Date & Time: May 1, 2008 at 11:30 AM Location: Brownstein Hyatt Farber Schreck

Eligible Credit: 2 hours.

To be completed by provider: The above course was

An overview course on all public service ethics issues necessary to satisfy the requirements of Article 2.4 of Chapter 2 of Part 1 of Division 2 of Title 5 of the Government Code.

A course on one or more of the following ethics subtopics contemplated by Article 2.4 of Chapter 2 of Part 1 of Division 2 of Title 5 of the Government Code. [provider check all that apply]

Laws relating to personal financial gain by public servants, including, but not limited to, laws prohibiting bribery and conflict-of-interest laws.

Laws relating to claiming perquisites ("perks") of office, including, but not limited to, gift and travel restrictions, prohibitions against the use of public resources for personal or political purposes, prohibitions against gifts of public funds, mass mailing restrictions, and prohibitions against acceptance of free or discounted transportation by transportation companies.

Government transparency laws, including, but not limited to, financial interest disclosure requirements and open government laws.

Laws relating to fair processes, including, but not limited to, common law bias prohibitions, due process requirements, incompatible offices, competitive bidding requirements for public contracts, and disqualification from participating in decisions affecting family members; and/or

General ethical principles relating to public service.



To be completed by participant:

By signing below, I certify that I participated in the activity described above and am entitled to claim 2 ethics education credit hour(s).

JAN ABEL
Participant Name (print)

Jan Abel
Signature

NOTE TO PARTICIPANT: Please provide a copy of this proof of participation to the custodian for such records at your agency. In addition, we recommend you and make a copy of this proof of participation for your own records to retain for at least five years.

Certificate of Attendance

THIS CERTIFIES THAT

W. DOVELAS MORGAN

(Print name on the line above)

Attended "Conduct & Ethics: Training for Water Agency Officials"

On Wednesday, Nov. 28, 2007, Indian Wells, CA

Paul D. Curran

Signature of Representative



Association of
California Water Agencies

Since 1910

Leadership Advocacy Information


910 K St., Suite 100
Sacramento, CA 95814-3577

PROOF OF PARTICIPATION

This proof of participation certifies that on April 28, 2008,

SAM FRYE

participated in government ethics training in accordance with the requirements of Government Code section 53234 et seq. This training was conducted by PRICE, POSTEL & PARMA LLP.


By: Susan M. Basham
Counselor at Law